

Information on the FinSA regulation on investor protection

Company address:

Kendra Securities House SA
Place St-Gervais 1, 1201 Genève - CH
Telephone: + 41 22 908 07 50
www.kendra.ch

Scope of activity: The purpose of the Company is to operate as a securities firm within the meaning of the Federal Act on Financial Institutions.

Legal form: Company Limited by Shares under Swiss law registered in the Commercial Register of the Canton of Geneva.

Authorization: Kendra Securities House Ltd. is licensed by FINMA as a securities firm in accordance with the Federal Act on Financial Institutions.

Supervisory Authority: Kendra Securities House SA is subject to supervision by the Swiss Financial Market Supervisory Authority (FINMA).

Address of the supervisory authority:

FINMA
Laupenstrasse 27
3003 Berne
Switzerland

Information on financial services

Kendra Securities House SA provides various types of financial services such as:

- **Discretionary Mandate:** On the basis of a discretionary management mandate, the client entrusts the Company with the management of his assets according to the parameters predefined in the investment risk profile. For this type of mandate, the Company takes the investment decisions.
- **Advisory Mandate:** The Company provides this service under an investment Advisory Mandate, taking into account the client's entire portfolio according to the parameters predefined with the client in the investment risk profile. For this type of service, the Company recommends financial instruments and the client makes the final investment decision.
- **Execution-only:** The client gives order to invest or sell and the Company executes it.

The range of products considered by the Company when selecting financial instruments includes its own financial products and third party financial products.

Kendra Securities House SA informs its clients of the costs associated with the financial services it provides.

Additional information on the costs associated with a financial product may be included in the prospectus, KIID or Fact Sheet if such documentation is available for the type of product concerned.

In all cases, transaction costs are indicated on the transaction notice.

Risks Involved in Trading Financial Instruments

Trading in financial products offers opportunities, but also entails risks. A good understanding of these risks forms the basis for sound investment decisions. We recommend that you read the Swiss Bankers Association (SBA) brochure "Risks of trading in financial instruments" before signing an advisory agreement or trading in financial products.

This brochure is available on our website and is distributed when you open an account.

Economic relationships with third parties concerning the financial services

In all its activities, Kendra Securities House Ltd is required to take appropriate organisational measures to avoid conflicts of interest and disadvantage that may arise in the provision of financial services to its Clients.

In certain infrequent cases where a conflict of interest or disadvantage to the Client cannot be avoided, the relevant conflict of interest or disadvantage will be disclosed to the Client in an understandable manner prior to the provision of the service or as soon as possible thereafter.

In addition, the Client is duly informed of the type and amount of services received from third parties in the general conditions of KSH.

Ombudsman's office

In the event of a dispute with Kendra Securities House Ltd, the customer may contact the Swiss Banking Ombudsman after contacting the Company and prior to taking any legal action. The Ombudsman will investigate, free of charge or at minimal cost, any complaint the customer may have against Kendra Securities House Ltd and may prepare and communicate to the parties a neutral assessment of the dispute.

Address:

Ombudsman des banques suisses
Bahnhofplatz 9
Case postale
8021 Zurich
Switzerland